

11 September 2024

Client notice

Severe Weather Trading Arrangements

Dear Client,

In view of the implementation¹ of severe weather trading ("SWT") in securities and derivatives markets from 23 September 2024, Sunrise Brokers (Hong Kong) Limited ("Sunrise HK"; "the Company"), as an Exchange Participant of the Stock exchange of Hong Kong ("SEHK") and Hong Kong Futures Exchange ("HKFE") (collectively "Hong Kong Exchanges"; "HKEX") informs our client that the Company will provide trading services during SWT day effective from the implementation day.

Hong Kong's securities and derivatives markets, including derivatives holiday trading, and after-hours trading, will be open during severe weather conditions.

The Company has

- confirmed our readiness to operate under Severe Weather to HKEX
- put in place contingency measures to ensure continued uninterrupted operations under severe weather
- adequate policies and arrangement for handling orders, trade clearing, margin call during an SWT Day

Please refer to Terms & Conditions | Sunrise Brokers

Please note that you should review the relevant Trading Rules and Procedures in their entirety and consult with your Compliance department to ensure that your firm has a full understanding of the requirements when trading on HK exchanges.

Yours sincerely,

Sunrise Brokers (Hong Kong) Limited

¹ HKEX to Implement Severe Weather Trading in Securities and Derivatives Markets from 23 September 2024